

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

-----X  
**In re**

**LEHMAN BROTHERS HOLDINGS INC., et al.,  
  
Debtors.**

:  
:  
:  
**Chapter 11 Case No.**

:  
:  
:  
**08-13555 (JMP)  
(Jointly Administered)**

:  
:  
-----X  
**Ref. Docket Nos. 20492, 20739**

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On October 14, 2011, I caused to be served the "Notice of Defective Transfer," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez

Lauren Rodriguez

Sworn to before me this  
21<sup>st</sup> day of September, 2011

/s/ Cassandra Murray

Notary Public, State of New York

No. 01MU6220179

Qualified in Queens County

Commission Expires April 12, 2014

**EXHIBIT A**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

NOTICE OF DEFECTIVE TRANSFER

Transferor: CLARIDEN LEU LTD  
ATTN: ALLEN GAGE  
1 MADISON AVE  
NEW YORK NY 10010

Additional: CLARIDEN LEU LTD  
CRAVATH, SWAINE & MOORE LLP  
ATTN: RICHARD LEVIN  
WORLDWIDE PLAZA  
825 EIGHTH AVENUE  
NEW YORK NY 10019

Transferee: GOLDMAN, SACHS & CO.  
30 HUDSON STREET, 36TH FLOOR  
JERSEY CITY NJ 07302

Your transfer of claim # 55813 is defective for the reason(s) checked below:

Amount On Transfer Agreement Does Not Match

Docket Number 20492

Date 09/30/11

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on October 14, 2011.

**EXHIBIT B**

TIME: 12:10:27  
DATE: 10/14/11

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

PAGE: 1

08-13555-mg

Doc 21115

Filed 10/21/11

Entered 10/21/11 21:19:00

Main Document

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| Name  | Address   |
|---|---|
| BANK OF AMERICA, NATIONAL ASSOCIATION                                 | SUCCESSOR BY MERGER TO LASALLE BANK, NA HUNTON & WILLIAMS LLP ATTN: JR SMITH, ESQ RIVERFRONT PLAZA, EAST TOWER, 951 E BYRD STREET RICHMOND VA 23219 |
| BANK OF AMERICA, NATIONAL ASSOCIATION, SUCCESSOR BY MERGER TO LASALLE | BANK NA HUNTON & WILLIAMS, LLP ATTENTION: J.R. SMITH, ESQ. RIVERFRONT PLAZA, EAST TOWER, 951 E. BYRD STREET RICHMOND VA 23219                       |
| CLARIDEN LEU LTD  | ATTN: ALLEN GAGE 1 MADISON AVE NEW YORK NY 10010  |
| CLARIDEN LEU LTD  | CRAVATH, SWAINE & MOORE LLP ATTN: RICHARD LEVIN WORLDWIDE PLAZA 825 EIGHTH AVENUE NEW YORK NY 10019   |
| GOLDMAN, SACHS & CO.  | 30 HUDSON STREET, 36TH FLOOR JERSEY CITY NJ 07302   |
| U.S. BANK NATIONAL ASSOCIATION  | ATTN: SACHIKO NISHIDA CORPORATE TRUST SERVICES MAIL CODE: MK-IL-SL8 190 SOUTH LASALLE STREET CHICAGO IL 60603                                       |

Total Number of Records Printed

6

EPIQ BANKRUPTCY SOLUTIONS, LLC